



Atty. Dkt. No. 054726-0115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hubert F. Metzger
Title: ELECTROPLATING
APPARATUS USING A NON-
DISSOLVABLE ANODE AND
ULTRASONIC ENERGY
Appl. No.: 09/992,205
Filing Date: 11/06/2001
Examiner: Donald R. Valentine
Art Unit: 1742

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
EV 505575464 US	11/17/04
(Express Mail Label Number)	(Date of Deposit)
Carolyn Simpson	
(Printed Name)	
	
(Signature)	

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop 313(c)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the Request for Continued Examination (RCE).

RELEVANCE OF EACH DOCUMENT

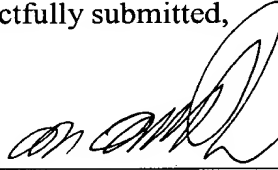
Some of the documents listed on the attached PTO/SB/08 were cited as being relevant during the prosecution of the corresponding European application. A copy of the European Search Reports are attached setting forth the portion of each document considered relevant by the examiner. An English-language counterpart of the foreign-language document has not been provided. The absence of a translation or an English-language counterpart document does not relieve the PTO from its duty to consider any submitted document (37 CFR §1.98 and MPEP§609).

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

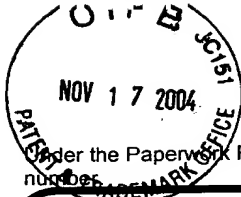
Respectfully submitted,

Date Nov. 17, 2004

By 

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Date Submitted: November 17, 2004

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/992,205
Filing Date	11/06/2001
First Named Inventor	Hubert F. Metzger
Group Art Unit	1742
Examiner Name	Donald R. Valentine
Attorney Docket Number	054726-0115

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	5,795,460		Al-Jiboory et al.	08/18/1998	
	A2	5,344,538		Chamberlain et al.	09/06/1994	
	A3	5,326,455		Kubo et al.	07/05/1994	
	A4	4,568,484		Takayasu	02/04/1986	
	A5	4,102,756		Castellani et al.	07/25/1978	
	A6	2,852,450		Mundell	09/16/1958	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A7	EP	1 138 806	A2	Metzger	10/04/2001		
	A8	EP	0 989 209	A2	Metzger	03/29/2000		
	A9	EP	0 884 404	A2	Metzger	12/16/1998		
	A10	EP	0 882 817	B1	Metzger	12/09/1998		
	A11	EP	0 567 042	A1	Ichiba et al.	10/27/1993		
	A12	EP	0 248 118	A1	Polan	12/09/1987		
	A13	DE	884 404		Straub et al.	07/27/1953		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A14	European Search Report for Application No. EP 01 10 6310, dated 09/24/2004, 8 pages.	
	A15	Partial European Search Report for Application No. EP 01 10 6310, dated 05/07/2004, 6 pages.	

001.1732698.1

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.